

# Algebraic surfaces

## Lecture IV: Rational surfaces

Arnaud Beauville

Université Côte d'Azur

July 2020

# Linear systems and rational maps

$L = \mathcal{O}_S(D) \in \text{Pic}(S)$ . (Complete) **linear system** :

$$|L| = |D| := \{E \geq 0 \mid E \equiv D\} = \mathbb{P}(H^0(L)).$$

$B_L =$  **Base locus** of  $L := \bigcap_{E \in |L|} E = Z \cup \{p_1, \dots, p_s\}$

$Z = \bigcup C_i =$  **fixed part**,  $p_i$  **base points**.

Rational map defined by  $L$ :

$\varphi_L : S \setminus B_L \rightarrow |L|^\vee$ ,  $\varphi_L(p) = \{E \mid p \in E\} =$  hyperplane in  $|L|$ .

If  $Z =$  fixed part of  $|L|$ ,  $\varphi_L = \varphi_{L(-Z)}$ : can assume  $L$  has no fixed part, i.e.  $B_L$  finite.

$E \in |L| \rightsquigarrow$  hyperplane  $H_E \subset |L|^\vee$ ;

$\varphi_L^* H_E = \{p \in S \setminus B_L \mid E \in \varphi_L(p) \Leftrightarrow p \in E\} = E \setminus B_L$ :  $\varphi_L^* H_E = E$ .

## Properties of $\varphi_L$

- $\varphi_L$  morphism  $\Leftrightarrow |L|$  base point free (i.e.  $B_L = \emptyset$ ).
- $\varphi_L$  injective  $\Leftrightarrow \forall p \neq q, \exists E \in |L|, p \in E, q \notin E$ .
- $\varphi_L$  embedding  $\Leftrightarrow \forall p, v \neq 0 \in T_p(S), \exists E \in |L|, v \notin T_p(E)$ .

If this is the case, we say that  $L$  is **very ample**.

- $\varphi_L$  embedding  $\Rightarrow \deg(\varphi_L(S)) = L^2$ .

**Remark :** If  $D$  is very ample and  $|E|$  is base point free,  $D + E$  is very ample.

**Examples :** • Let  $H$  be a line in  $\mathbb{P}^2$ . The linear system  $|nH|$  of curves of degree  $n$  ( $n \geq 1$ ) is very ample. In particular,  $\varphi_{2H}$  is an isomorphism of  $\mathbb{P}^2$  onto a surface  $V \subset \mathbb{P}^5$ , the **Veronese surface**.

## Examples

- On  $\mathbb{P}^1 \times \mathbb{P}^1$ , let  $A = \mathbb{P}^1 \times \{0\}$  and  $B = \{0\} \times \mathbb{P}^1$ . The linear systems  $|A|$  and  $|B|$  are base point free, and  $\varphi_{A+B}$  is the Segre embedding in  $\mathbb{P}^3$ . Hence  $aA + bB$  is very ample for  $a, b \geq 1$ . In particular,  $|2A + B|$  gives an isomorphism onto a surface of degree 4 in  $\mathbb{P}^5$  (“quartic scroll”). Since  $A \cdot (2A + B) = 1$ , the curves in  $|A|$  are mapped to lines in  $\mathbb{P}^5$ .
- Let  $p_1, \dots, p_s \in S$ . Let  $|D|$  be a linear system on  $S$ , and  $P \subset |D|$  the subspace of divisors passing through  $p_1, \dots, p_s$ . Assume that at each  $p_i$  the curves of  $P$  have different tangent directions. Let  $b : \hat{S} \rightarrow S$  be the blowing up of  $p_1, \dots, p_s$ ,  $E_i$  the exceptional curve above  $p_i$ . The system  $\hat{D} := b^*D - \sum E_i$  is base point free and defines a morphism  $\varphi_{\hat{D}} : \hat{S} \rightarrow |\hat{D}|^\vee$  to which we can apply the previous remarks.

## Examples (continued)

- Let  $p \in \mathbb{P}^2$ ; consider the system of conics passing through  $p$ . It is easy to check that the system  $2b^*H - E$  on  $\hat{\mathbb{P}}_p^2$  is very ample. It gives an isomorphism onto a surface  $S \subset \mathbb{P}^4$ . We have  $\deg(S) = (4H^2 + E^2) = 3$ . The strict transforms of the lines through  $p$  in  $\mathbb{P}^2$  form the linear system  $b^*H - E$ ; since  $(b^*H - E) \cdot (2b^*H - E) = 1$ , they are mapped to lines in  $\mathbb{P}^4$ .  $S$  is the **cubic scroll**.
- Now let us pass to linear systems of cubic curves.

### Proposition

For  $s \leq 6$ , let  $p_1, \dots, p_s \in S = \mathbb{P}^2$ , such that no 3 of them lie on a line and no 6 on a conic. The linear system  $|-K|$  on  $\hat{S}$  is very ample, and defines an isomorphism of  $\hat{S}$  onto a surface  $\Sigma_d$  of degree  $d := 9 - s$  in  $\mathbb{P}^d$ , called a **del Pezzo surface**.

# Sketch of proof

**Sketch of proof :** The proof is a long exercise, with no essential difficulty; I will just give an idea. We have  $-K_{\Sigma} = 3b^*H - \sum E_i$ , corresponding to the system  $P$  of cubics passing through the  $p_i$ .

Let us show that  $\varphi_{-K}$  is injective in the most difficult case  $s = 6$ .

- Let  $p \neq q \in \mathbb{P}^2 \setminus \{p_i\}$ . Can assume  $p_1$  is not on the line  $\langle p, q \rangle$ .
- $\exists!$  conic  $Q_{ij}$  passing through  $p$  and the  $p_k$  for  $k \neq i, j$ .
- $Q_{1i} \cap Q_{1j} = \{p\} \cup 3$  other  $p_k \Rightarrow q \in$  at most one  $Q_{1i}$ , say  $Q_{12}$ .
- $q$  is at most on one  $\langle p_1, p_i \rangle$ , say  $\langle p_1, p_3 \rangle$ .
- Then  $Q_{14} \cup \langle p_1, p_4 \rangle \in P$ ,  $\exists p, \nexists q \Rightarrow \varphi_{-K}(p) \neq \varphi_{-K}(q)$ .
- Then:  $\deg(\Sigma_d) = (3b^*H - \sum E_i)^2 = 9 - s = d$ ; one has  $h^0(3H) = 10$ , and one checks that  $p_1, \dots, p_s$  impose  $s$  independent conditions. ■

**Example :**  $\Sigma_3$  is a smooth cubic surface in  $\mathbb{P}^3$ ; we will see that one obtains all smooth cubic surfaces in that way.

# Lines on del Pezzo surfaces

## Proposition

lines  $\subset \Sigma_d =$  exceptional curves = the  $E_i$ , the strict transforms of the lines  $\langle p_i, p_j \rangle$  and of the conics passing through 5 of the  $p_i$  (for  $s = 5$  or 6). Their number is  $s + \binom{s}{2} + \binom{s}{5}$ .

**Proof :**  $E \subset \hat{S} \rightsquigarrow$  line in  $\Sigma \Leftrightarrow K_{\hat{S}} \cdot E = -1$ , i.e.  $E$  exceptional.

$E \neq E_i \Rightarrow E \equiv mb^*H - \sum a_i E_i$  in  $\text{Pic}(\hat{S})$ ;  $a_i = E \cdot E_i = 0$  or 1.

$(-K) \cdot E = 3m - \sum a_i = 1 \Rightarrow \sum a_i = 2$  and  $m = 1$ , or  $\sum a_i = 5$  and  $m = 2$ . ■

**Remark :** We know more than the number of lines, namely their classes in  $\text{Pic}(\Sigma_d)$ , their incidence properties, etc. The configuration of lines has been intensively studied in the 19th and 20th century. Let us just mention that the lattice  $K^\perp \subset \text{Pic}(\Sigma_d)$  is a root system, of type  $E_6, D_5, A_4, A_2 \times A_1$  for  $s = 6, 5, 4, 3$ .

# The cubic surface

## Proposition

Any smooth cubic surface  $S \subset \mathbb{P}^3$  is a del Pezzo surface  $\Sigma_3$ .

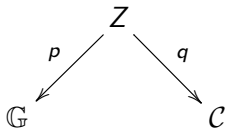
In particular,  $S$  contains 27 lines.

*Strategy of the proof*: show that  $S$  contains a line, then 2 skew lines; then deduce from that a map  $S \rightarrow \mathbb{P}^2$  composite of blowups.

①  $\mathbb{G} := \{\text{lines} \subset \mathbb{P}^3\}$ ,  $\dim \mathbb{G} = 4$ .

$\mathcal{C} := |\mathcal{O}_{\mathbb{P}^3}(3)| = \{\text{cubic surfaces} \subset \mathbb{P}^3\} \cong \mathbb{P}^c$  ( $c = 19$ ).

Incidence correspondence:  $Z \subset \mathbb{G} \times \mathcal{C} = \{(\ell, S) \mid \ell \subset S\}$ .



Fibers of  $p \cong \mathbb{P}^{c-4}$  ( $S : F = 0$  contains  $Z = T = 0 \Leftrightarrow F$  has no  $X^3, X^2Y, XY^2, Y^3$ ).

Thus  $\dim Z = \dim \mathcal{C}$ . We want  $q$  surjective.



## Cubic surface (continued)

If  $q : Z \rightarrow \mathcal{C}$  not surjective,  $\dim q(Z) \leq c - 1 \Rightarrow \dim q^{-1}(S) \geq 1$  for  $S \in q(Z)$ . But  $q^{-1}(\Sigma_3)$  finite  $\Rightarrow$  impossible.

②  $S \supset \ell$ . The planes  $\Pi \supset \ell$  cut  $S$  along a conic.

**Claim :** 5 of these conics are degenerate, i.e. of the form  $\ell_1 \cup \ell_2$ .

**Proof :**  $\ell : Z = T = 0 \Rightarrow$

$F = AX^2 + 2BXY + CY^2 + 2DX + 2EY + G$ , with  $A, \dots, G$

homogeneous polynomials in  $Z, T$ . The conic is degenerate

$$\Leftrightarrow \det \begin{vmatrix} A & B & D \\ B & C & E \\ D & E & G \end{vmatrix} = 0, \text{ degree 5 in } Z, T. \geq 2 \text{ distinct roots} \Rightarrow$$

$S \supset 2$  triangles:  $\ell \cup \ell_1 \cup \ell'_1, \ell \cup \ell_2 \cup \ell'_2$ . Then  $\ell_1 \cap \ell_2 = \emptyset$ .

## Cubic surface (continued)

③  $l \subset S$ , given by  $X = Y = 0$ . Projection from  $l: S \xrightarrow{(X,Y)} \mathbb{P}^1$ .

Well-defined:  $S: XB - YA = 0$ ,  $(X, Y) = (A, B)$  on  $S$ ,

$X = Y = A = B = 0 \Rightarrow S$  singular.

$\varphi_i: S \rightarrow \mathbb{P}^1$  projection from  $l_i \rightsquigarrow \varphi = (\varphi_1, \varphi_2): S \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ .

Geometrically,  $\varphi_i(p) = \text{plane } \langle l_i, p \rangle$  through  $l_i$ .

Birational: for  $(\pi_1, \pi_2) \in \mathbb{P}^1 \times \mathbb{P}^1$ ,  $\pi_1 \cap \pi_2 = \text{line meeting } l_1 \text{ and } l_2$ , intersects  $S$  along a unique third point  $p$ .

Thus  $\varphi = \text{composition of blowups}$ . Since blowup of  $\mathbb{P}^1 \times \mathbb{P}^1$  at 1 point = blowup of  $\mathbb{P}^2$  at 2 points, get  $\varphi': S \rightarrow \mathbb{P}^2$  composition of blowups. ■